



AMERICAN SOCIETY OF
SAFETY PROFESSIONALS

COVID-19 Challenges: OHSMS Internal Audits and Corrective Action - Planning and Conducting COVID-19 Audits

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OHSMS Internal Audits and Corrective Action

Planning and Conducting Covid-19 Program Audits

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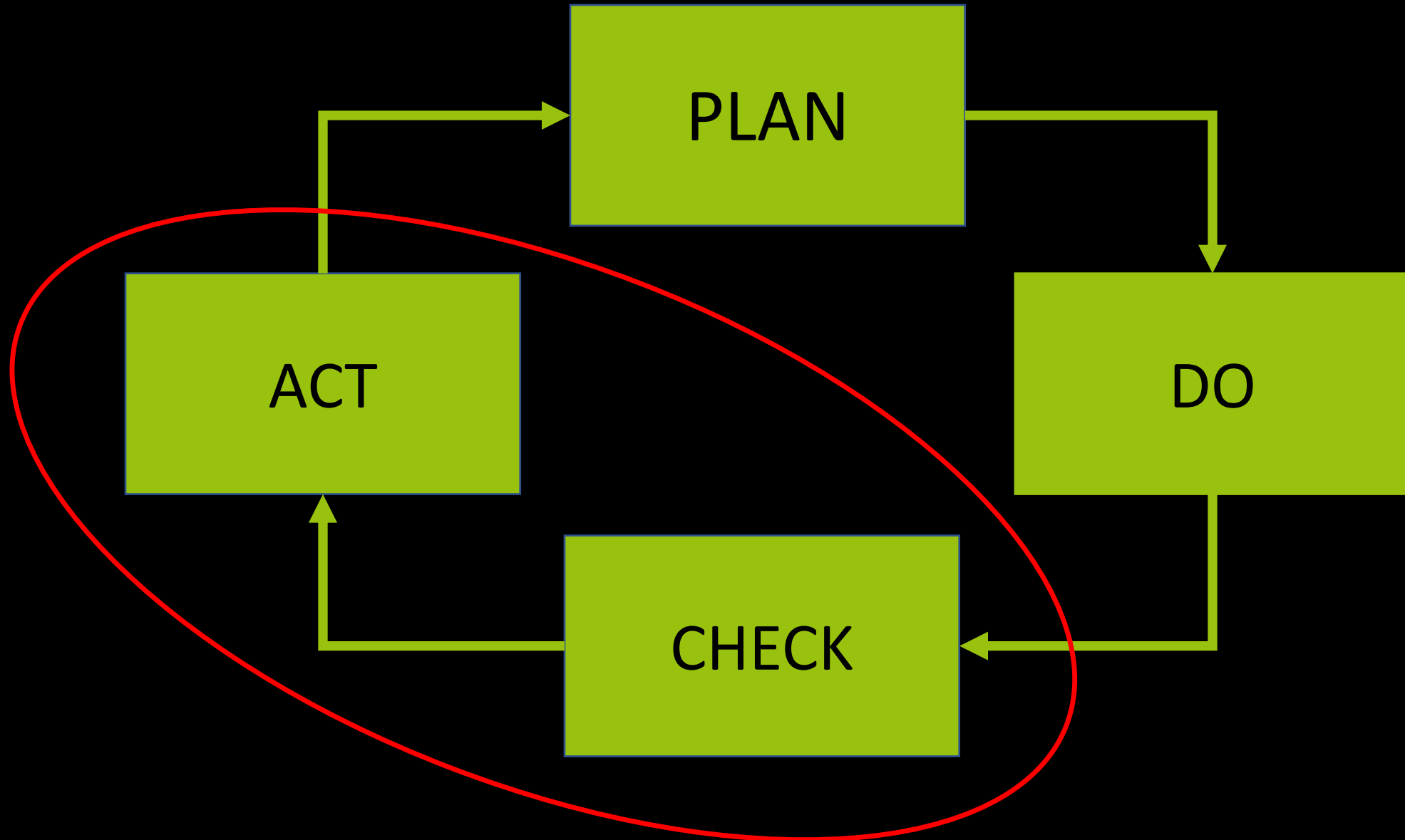
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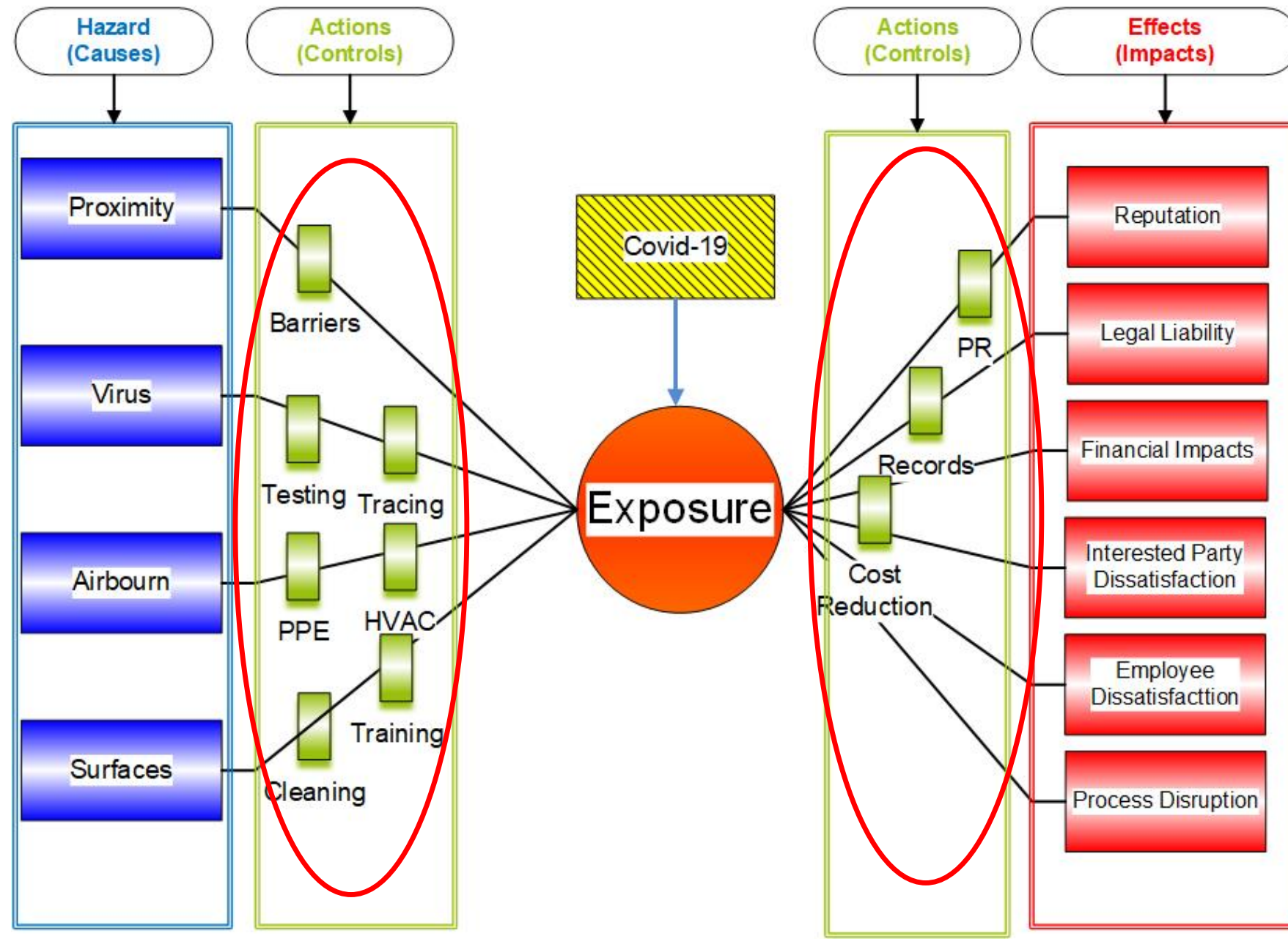
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MSS Continual Improvement - PDCA



Simplified Covid -19 Bowtie Risk Analysis



Auditing Requirements & Guidance

- ISO 45001:2018 – Clause 9.2 Internal Audit
 - conduct internal audits at planned intervals
 - plan, establish, implement and maintain an audit programme(s)
- ISO 45001:2018 – Clause 10.2 Incident, nonconformity and corrective action
 - implement and maintain a process to determine and manage incidents and nonconformities.
- ISO 19011:2018 – Guidelines for auditing management systems

Covid-19 Audits - Planning

- Objective
- Scope
- Criteria (Risk Based Sampling – Materiality)
- Method and Schedule (Communicate)
- Auditor Competence & Impartiality Risk
- Reporting Results & Critical Findings
- Confidentiality
- Risks to Auditor & Auditee
- Is Audit Feasible?

Conducting Covid-19 Audits

- Opening meeting
- Collecting evidence
- Recording findings
- Audit conclusions
- Closing meeting
- Prepare & distribute report
- Audit follow-up (corrective action)

Audit Corrective Action (Act)

Risks

Corrective action workflow

Tracking and closure

Management Review

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